



# Investigation of optical photorefractive properties of Zr:Fe:LiNbO<sub>3</sub> crystals

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## ABSTRACT

A series of Zr:Fe:LiNbO<sub>3</sub> crystals with various levels of ZrO<sub>2</sub> doping were grown by Czochraski technique. The optical damage resistance and photorefractive properties were deeply explored. The results showed that the ability optical damage resistance increased remarkably when the concentration of ZrO<sub>2</sub> is over threshold concentration, but which is lower than that of traditional damage resistant additive MgO. While, the holographic storage properties can be greatly enhanced by proper level of ZrO<sub>2</sub> doping in Fe:LiNbO<sub>3</sub>. In terms of ions' site occupation model, the photo-damage resistant ability enhancement and the change of the photorefractive properties were discussed.

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## 1. Introduction

The LiNbO<sub>3</sub> crystal is one of the most widely used electro-optical materials. One of the important applications is holographic storage because of its excellent photorefractive properties. However, the applications are severely limited by optical damage, which induces birefringence change at high laser intensities [1]. Doping with damage resistant additive is found to be an effective method to increase the optical damage resistance [2]. Some damage resistant elements, such as Mg [3], Zn [4], In [5], Sc [6], Hf [7–10] and Zr [11–15] have been used to decrease the optical damage of LiNbO<sub>3</sub> crystals. It has been known that there exist threshold concentrations for these damage resistant additives. When the doping concentrations are above the threshold values, response speed and photorefractive sensitivity are remarkably improved, nevertheless diffraction efficiency will apparently decrease. Hf [7] was reported improving the optical damage ability and keeping high diffraction efficiency. Zr as a tetravalent ion was studied by lot of researchers. While different results have been got, such as the threshold concentration was 1.7(mol%) in Kong et al.'s result [11], Sun et al.'s [12] result was 4–6 (mol%), great different optical damage resistance ability existed too.

In this work, ZrO<sub>2</sub> damage resistant additive was employed to enhance the optical damage resistance. Different threshold concentration was obtained and the optical damage resistance ability

was accurately measured. The correlation between defects and optical properties of these crystals were explored and the rational explanation of were given.

## 2. Experimental procedures

### 2.1. Samples preparation

We carried out experiments for four samples of LiNbO<sub>3</sub>, three of them doped by iron and zirconium (Zr:Fe:LiNbO<sub>3</sub>). Various factors affecting the control of crystal growth and the relationship among these factors were analyzed, and an auto-monitoring and adjusting diameter-constant control system was built up. Using this control system, various levels of ZrO<sub>2</sub> doping crystals were successfully grown. These crystals show smooth surface from shoulder, body to tail. One of the crystals was shown in Fig. 1.

The bulk doped crystals were grown from a congruent melt of Li/Nb=48.6/51.4 by Czochraski technique. The specimens were doped by iron at fixed mass concentration 0.15 wt% and by zirconium at various molar concentrations 0, 2, 4 and 5 mol%, which we referred to as Zr0, Zr2, Zr4 and Zr5, respectively. The raw material compositions of the samples in the melt were shown in Table 1.

In order to form polycrystalline bulk, the raw materials, which had been precisely weighed and thoroughly mixed for 24 h, were fully calcined at 750 °C for 2 h and then sintered at 1150 °C for 2 h. The crystals were grown under the optimum technology conditions: temperature gradient of 40–50 °C cm<sup>−1</sup> along the furnace axial direction, rotating rate of 15–25 rpm and pulling rate of 1.2–2.0 mm h<sup>−1</sup>. The typical as-grown crystal sizes were about 25–35 mm in diameter and 40 mm in length.

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Fig. 1. As-grown crystal.

Table 1

Doped Zr:FeLiNbO<sub>3</sub> Crystal samples in experiment.

Crystals	Composition	Dopant in starting melt
Zr0	Fe:LiNbO <sub>3</sub>	0.015 wt% Fe <sub>2</sub> O <sub>3</sub>
Zr2	Zr:Fe:LiNbO <sub>3</sub>	2 mol%ZrO <sub>2</sub> , 0.015 wt% Fe <sub>2</sub> O <sub>3</sub>
Zr4	Zr:Fe:LiNbO <sub>3</sub>	4 mol%ZrO <sub>2</sub> , 0.015 wt% Fe <sub>2</sub> O <sub>3</sub>
Zr5	Zr:Fe:LiNbO <sub>3</sub>	5 mol%ZrO <sub>2</sub> , 0.015 wt% Fe <sub>2</sub> O <sub>3</sub>

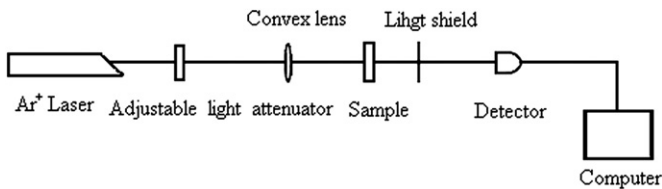


Fig. 2. Experimental set-up for measuring the light-induced scattering.

## 2.2. Measurements

The infrared transmission spectra of the crystals were obtained with a Fourier infrared spectrophotometer in the 3000–4000 cm<sup>-1</sup> wave number range at room temperature.

The optical damage resistance of the crystals was characterized by measuring the light-induced scattering intensity change as a function of the incident light intensity. As shown in Fig. 2, an argon-ion laser was used as light source, and the incident beam power level could be adjusted by an attenuator. The crystal *c* axis was set parallel to the polarization direction of the laser beam and placed on the back focal plane of the convex lens. The power density of the beam spot passing through a pin hole on a light shield was measured by a photodiode connected with a computer. The light-induced scattering light intensity  $I_s = I_0 - I_1$ , where  $I_0$  is the transmitted light intensity just at the beginning and  $I_1$  is the transmitted light intensity at saturation.  $R = I_s/I_0$  is defined as the strength of light-induced scattering.

The holographic properties were investigated by two wave-mixing in transmission geometry at a fixed grating spacing (as shown in Fig. 3) at room temperature. Two mutually coherent and extraordinarily polarized green beams from a doubled Nd:YAG laser ( $\lambda = 532$  nm) were used as writing beams, i.e. signal beam *S* and reference beam *R*. The grating vector of written holograms was aligned along the *c* axis to utilize the largest electro-optic coefficient  $\gamma_{33}$ , and the crossing angle between the writing beams was  $\sim 20^\circ$  in air with a grating period of 1.2  $\mu\text{m}$ . The *R*–*S* intensity was adjusted by rotation of half-wave plate  $\text{HW}_1$  to be equal (150 mW cm<sup>-2</sup>).

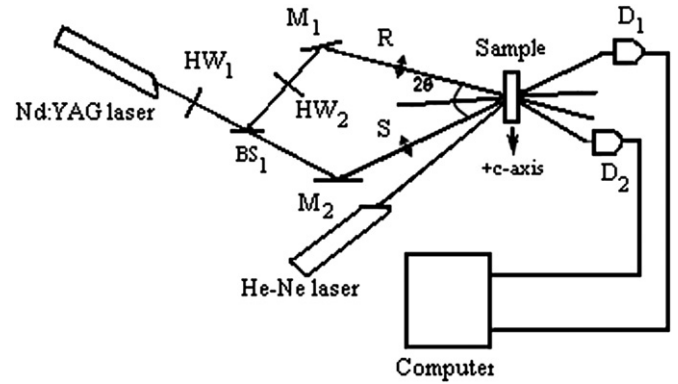


Fig. 3. Experimental set-up for two-wave coupling. *M*<sub>1</sub>, *M*<sub>2</sub>: mirrors; *BS*<sub>1</sub>: beam splitter; *D*<sub>1</sub>, *D*<sub>2</sub>: detectors; *R*: reference beam; *S*: signal beam; *HW*<sub>1</sub>, *HW*<sub>2</sub>: half-wave plates.

The measured diffraction efficiency  $\eta$  was defined as  $I_d/(I_d + I_t)$ , where  $I_d$  and  $I_t$  are the diffracted and transmitted intensity of the read out beam, respectively. After the diffraction efficiency had reached its maximum value, the signal beam was blocked, and the hologram was read out with the reference beam and the recorded grating decayed gradually due to beam erasure. Meanwhile,  $I_r$  and  $I_d$  of probe laser beam were also detected to record the erasure process. The behavior of the grating formation and erasure can be approximately described by the relations:

$$\eta_{gf}^{1/2} = \eta_s^{1/2} \left[ 1 - \exp\left(-\frac{\tau}{\tau_t}\right) \right] \quad (1)$$

$$\eta_{ef}^{1/2} = \eta_s^{1/2} \left[ \exp\left(-\frac{\tau}{\tau_e}\right) \right] \quad (2)$$

where  $\tau_t$  and  $\tau_e$  are the response time and the erasure time, respectively, and  $\eta_s$  is the saturated value of diffraction efficiency. Fitting the experimental data of diffraction efficiency versus time to the above two expressions, the response time  $\tau_r$  and the erasure time  $\tau_e$  can be estimated. Thus, the photoconductivity can also be obtained by  $\sigma_{ph} = \epsilon\epsilon_0/\tau_e$ , where  $\epsilon$  is the relative dielectric constant and  $\epsilon_0$  is the dielectric constant of vacuum. As metrics for hologram storage, two figures of merit are also used: photorefractive sensitivity *S* and dynamic range *M*/ $\#$ . They are defined as

$$S = \frac{(d\sqrt{\eta_{gf}}/dt)_{t=0}}{IL} \approx \frac{\sqrt{\eta_{gf}}}{\tau_w IL} \quad (3)$$

$$M/\# = \tau_e \times \left( \frac{d\sqrt{\eta_{ef}}}{dt} \right)_{t=0} \approx \frac{\tau_e}{\tau_w} \sqrt{\eta_{ef}} \quad (4)$$

where *I* is the total writing light intensity in the two beams, *L* the crystal thickness,  $\tau_e$  is the erasure time and  $\tau_w$  is the writing time.

## 3. Results and discussion

### 3.1. Infrared transmission spectra

The water in the raw material and air makes the H<sup>+</sup> ions enter the crystal and form O–H–O during the course of crystal growing. The infrared absorption band of OH<sup>-</sup> is near 3500 cm<sup>-1</sup>. The shifting of OH<sup>-</sup> absorption peak reflects the location and threshold concentration of the doping ions [16]. Fig. 4 shows the infrared transmission spectra of these crystals, from which it can be seen that Zr2 and Zr4 have the nearly same absorption peak positions ( $\sim$ at 3483 cm<sup>-1</sup>) as that of Zr0, while in Zr5 the absorption peak is differently shifted to 3488 cm<sup>-1</sup>.

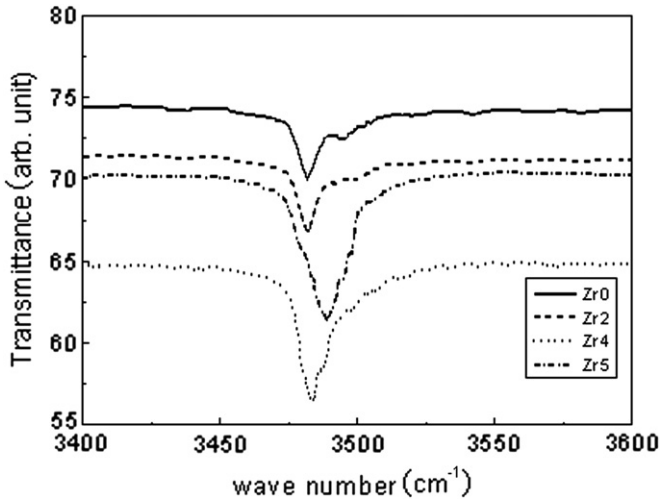


Fig. 4. Infrared absorption spectra of Zr:Fe:LiNbO<sub>3</sub> crystals.

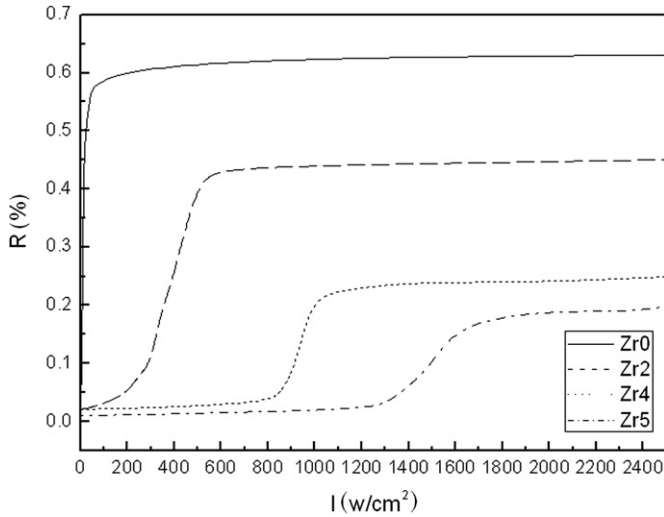


Fig. 5. Dependence of the ratio  $R$  versus the incident light intensity.

In congruent LiNbO<sub>3</sub> crystal, there always exist  $V_{Li}^-$  and  $Nb_{Li}^{4+}$  defects according to Li site vacancy model since the Li/Nb ratio in the melt is less unity. The electropositive  $H^+$  ions are easily attracted around the electronegative  $V_{Li}^-$ . Thus the complexes ( $V_{Li}^-OH^-$ ) are formed and attributed to absorption peak at  $3482\text{ cm}^{-1}$ . In Zr2,  $Zr^{4+}$  ions replace  $Nb_{Li}^{4+}$  to normal Nb site in the form of  $Zr_{Li}^{3+}$ . In Zr4, all  $Nb_{Li}^{4+}$  disappeared,  $Zr^{4+}$  substitutes  $Fe_{Li}^{3+}$  to Nb site in the form of  $Zr_{Li}^{3+}$  and above the threshold (Zr5) Zr ions simultaneously substitute Nb sites and Li sites in the form of  $Zr_{Nb}^-$  on Nb sites. The IR absorption peaks of Zr2 and Zr4 still reflect the  $OH^-$  vibration in ( $V_{Li}^-OH^-$ ) complexes and remain at the almost same position ( $\sim 3483\text{ cm}^{-1}$ ) since  $Zr_{Li}^{3+}$  defects repel  $H^+$  ions. But in Zr5 the  $Zr_{Nb}^-$  defects may have a stronger force to attract  $H^+$  than  $V_{Li}^-$  do, thus the  $H^+$  ions absorb around  $Zr_{Nb}^-$  defects and form ( $Zr_{Nb}^-OH^-$ ) complexes, which corresponds to the IR absorption peak of  $3488\text{ cm}^{-1}$ .

### 3.2. The optical damage resistance

Fig. 5 shows the results of the ratio  $R$  depend on the incident light intensity. Threshold intensity induced by the transmitted beam scattering increases with an increase in Zr doping concentration. When the Zr doping concentration reached 5 mol% in the

Zr:Fe:LiNbO<sub>3</sub> crystals, the threshold intensity was three orders of magnitude higher than that of Fe:LiNbO<sub>3</sub>. Furthermore, the light scattered intensity to the high Zr doping was also much weaker than that of the Fe:LiNbO<sub>3</sub> even if the incident light intensity were over the threshold intensity. But the threshold intensity of Zr is lower than that of Mg [3].

The photorefractive  $\sigma_{ph}$  can be obtained to explain the experimental results of photorefractive properties [17], because it is possible to increase optical damage by increasing only photoconductivity. According to an expression,  $\delta\Delta n \approx Bk\alpha I/(\sigma_d + \sigma_{ph})$  ( $\sigma_d \ll \sigma_{ph}$ ), where  $B$  is the generalized electro-optical coefficient,  $k$  is the Glass constant of crystals,  $\alpha$  is the optical absorption coefficient,  $I$  is the light intensity and  $\sigma_d$  and  $\sigma_{ph}$  are dark conductivity and photoconductivity, respectively. The  $\sigma_d$  is independent on the light intensity ( $I$ ), may dependent on the concentration of the Li vacancies from Yan et al.'s results [8]. But in the high light intensity, the effect of  $\sigma_d$  to increase optical damage can be neglected.

In Zr:Fe:LiNbO<sub>3</sub>, Fe ions are the most probable photorefractive centers with  $Fe^{3+}$  as electron acceptors and  $Fe^{2+}$  as electron donors. The different substitution sites of  $Fe^{3+}$  caused by Zr doping will reduce the capture cross section of electrons, and enhance the photoconductivity since  $Fe_{Nb}^{2+}$  has a lower ability to trap electrons than  $Fe_{Li}^{3+}$  has [17–18]. When the doping concentration of Zr is below its threshold,  $Fe^{3+}$  ions still occupy the Li sites and do not lose their electron acceptor property. The increasing  $\sigma_{ph}$  is mainly attributed to the decrease in anti-site  $Nb_{Li}$ . When the concentration of Zr doping exceeds the threshold, the replacement of  $Fe^{3+}$  from in Li sites to in Nb sites induces the larger decrease in  $\sigma_{ph}$  since  $Fe_{Nb}^{2+}$  loses the electron acceptor properties. Consequently, the optical damage resistance ability becomes stronger. Finally, Zr begins to substitute for normal Nb ions, and the rest of  $Fe^{3+}$  on the Li sites will never decrease, so the  $\sigma_{ph}$  and the optical damage resistance ability remain unchanged.

Using single valence element to repel  $Fe_{Li}^{3+}$  to form  $Fe_{Nb}^{3+}$  is the most important method improving the optical damage resistance ability. Bivalency ion  $Mg^{2+}$  repelling  $Fe_{Li}^{3+}$  will form ( $Mg_{Li}^{2+} - V_{Li}$ ) defects and tetravalent ion  $Zr^{4+}$  will form ( $Zr_{Li}^{4+} - 3V_{Li}$ ) defects. ( $Zr_{Li}^{4+} - 3V_{Li}$ ) defects will induce larger crystal lattice deformation than ( $Mg_{Li}^{2+} - V_{Li}$ ) defects [19]. So we believe the abilities of repelling  $Fe_{Li}^{3+}$  of Mg are stronger than Zr. The concentration of  $Fe_{Li}^{3+}$  in Mg:Fe:LiNbO<sub>3</sub> is lower than that of Zr:Fe:LiNbO<sub>3</sub> and the threshold intensity of Zr is lower than that of Mg.

### 3.3. Photorefractive properties

Table 2 summarizes the photorefractive properties of these Zr:Fe:LiNbO<sub>3</sub> crystals including diffraction efficiency, response time constant, erasure time constant, photoconductivity  $a$ , photorefractive sensitivity and dynamic range. It is seen that  $\eta$ ,  $\tau_r$  and  $\tau_e$  reduce with the increase in Zr doping concentration, but the drop extent is different. On the contrary,  $\sigma_{ph}$  and  $S$  rises obviously. The change of  $M/\#$  is irregular. Zr5 with the fastest recording speed and highest photorefractive sensitivity shows very good holographic storage property.

Table 2

Results of photorefractive properties of Zr:Fe:LiNbO<sub>3</sub> crystals.

Sample	$\eta_{max}$ (%)	$\tau_w$ (s)	$\tau_e$ (s)	$S$ (mm J <sup>-1</sup> )	$M/\#$	$\sigma_{ph}$ ( $\Omega^{-1}\text{ cm}^{-1}$ )
Zr0	57.5	160	475	0.78	2.25	$1.77 \times 10^{-14}$
Zr2	50.8	86	203	1.33	1.68	$3.29 \times 10^{-14}$
Zr4	36.6	40	148	2.52	2.23	$7.08 \times 10^{-14}$
Zr5	32.5	34	128	2.79	2.14	$8.32 \times 10^{-14}$

As we have discussed,  $\text{Fe}^{3+}$  ions will be pushed to Nb sites from Li site, which causes abrupt reduction of photorefractive diffraction efficiency. Increasing photoconductivity (in Table 2) indicated that motion of photo-induced charge carriers would become fast and the space charge field would form faster photorefractive response speed.

With the increase in Zr doping concentration, the maximum diffraction efficiency decreased; however, the writing and erasure time constants and photorefractive sensitivity were improved. It is believed that  $\text{Zr}(5 \text{ mol}\%):\text{Fe}:\text{LiNbO}_3$  crystal is a promising holographic storage medium.

#### 4. Conclusion

In summary, a series of  $\text{Zr}:\text{Fe}:\text{LiNbO}_3$  crystals with varying levels of Zr doping were grown by Czochraski technique.

From the experimental results we can deduce that Zr ions initially occupied  $\text{Nb}_{\text{Li}}^{4+}$  sites and then replaced Li and Nb sites when Zr doping was up to the threshold concentration (4–5 mol%). Simultaneously, a part of  $\text{Fe}^{3+}$  ions were repelled to Nb sites from Li sites and lost their electron acceptor properties, which aroused an increase in photoconductivity. Therefore, in  $\text{Zr}(5 \text{ mol}\%):\text{Fe}:\text{LiNbO}_3$ , response time and erasure time shorten, the largest photorefractive sensitivity and the threshold intensity to optical damage was three orders of magnitude higher, in comparison with the  $\text{Fe}:\text{LiNbO}_3$ . Our analysis indicates that  $\text{Zr}(5 \text{ mol}\%):\text{Fe}:\text{LiNbO}_3$  crystal is a promising holographic storage medium.

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